

Who We Are

Maia Wealth, LLC is an investment adviser registered with the U.S. Securities and Exchange Commission ("SEC"). Registration with the SEC does not imply a certain level of skill or training.

Our principal office is located at:

Maia Wealth, LLC

1331 17th Street, Suite 800
Denver, CO 80202

Phone: (720) 644-8803

www.maiawealth.com

Information We Collect

Depending on how you interact with us, we may collect information including:

Information You Provide

- Name
- Email address
- Telephone number
- Mailing address
- Employer
- Financial information voluntarily submitted
- Investment objectives
- Retirement information
- Risk tolerance
- Information submitted through forms, scheduling tools, or client onboarding

You are not required to provide personal information to browse our website; however, certain features may require it.

Information Collected Automatically

When you visit our website, we may automatically collect information including:

- IP address
- Browser type
- Device information
- Operating system
- Pages viewed
- Time spent on pages
- Referral source
- Website usage statistics
- Cookie identifiers

This information helps us improve our website, security, and visitor experience.

Cookies and Similar Technologies

Our website uses cookies and similar technologies to:

- Remember visitor preferences
- Analyze website traffic
- Improve website functionality
- Understand visitor engagement
- Detect security issues

You may disable cookies through your browser settings. Doing so may affect certain website functionality.

How We Use Information

We use information to:

- Respond to inquiries
- Schedule appointments
- Communicate with prospective and existing clients
- Provide investment advisory services
- Deliver financial planning services
- Improve our website
- Prevent fraud

- Maintain cybersecurity
 - Meet legal and regulatory obligations
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How We Share Information

We do **not sell** your personal information.

We may disclose information:

- To custodians maintaining client accounts
- To service providers that help us operate our business
- To technology vendors supporting our systems
- To financial planning, tax, or estate planning service providers when authorized
- To regulators or law enforcement when required by law
- To protect Maia Wealth or our clients

Any service provider receiving personal information is expected to maintain appropriate confidentiality and security safeguards.

Third-Party Technology Providers

To provide advisory services and operate our business efficiently, Maia Wealth utilizes various third-party technology providers, including providers for:

- Portfolio management
- Performance reporting
- Client relationship management
- Financial planning
- Secure document storage
- Client portals
- Scheduling
- Electronic signatures
- Communications

These providers may receive limited access to personal information only as necessary to perform services on our behalf.

Artificial Intelligence

Certain third-party technology providers utilized by Maia Wealth may incorporate artificial intelligence ("AI") or machine learning capabilities to improve efficiency, such as summarizing meeting notes or enhancing administrative workflows.

Maia Wealth does not rely solely on AI when providing investment advice. Advisory recommendations are reviewed by our investment professionals, and we perform ongoing due diligence of our technology providers to help protect client information and maintain appropriate safeguards.

Information Security

Protecting client information is a core responsibility of Maia Wealth.

We maintain administrative, technical, and physical safeguards designed to protect personal information, including:

- Encryption where appropriate
- Secure networks
- Access controls
- Vendor due diligence
- Employee confidentiality obligations
- Multi-factor authentication where applicable
- Cybersecurity monitoring

Although no system can guarantee absolute security, we continually evaluate and enhance our security practices.

Data Retention

We retain personal information only as long as necessary to:

- Provide advisory services
- Comply with SEC recordkeeping requirements
- Meet legal obligations
- Resolve disputes

- Enforce agreements

When information is no longer required, it is securely deleted or destroyed in accordance with applicable law.

Third-Party Websites

Our website may contain links to third-party websites.

Maia Wealth is not responsible for the privacy practices or content of external websites. We encourage you to review their privacy policies before providing personal information.

Children's Privacy

Our services are intended for adults.

We do not knowingly collect personal information from children under the age of 13.

State Privacy Rights

Residents of certain states, including Colorado and California, may have additional privacy rights regarding personal information.

Certain information maintained by registered investment advisers is exempt from state privacy laws because it is governed by the federal Gramm-Leach-Bliley Act and other financial privacy regulations. Where applicable, Maia Wealth will honor privacy rights required by law.

Changes to this Policy

We may update this Privacy Policy periodically.

When changes are made, we will revise the Effective Date shown at the top of this page.

Contact Us

Questions regarding this Privacy Policy may be directed to:

Maia Wealth, LLC

1331 17th Street, Suite 800

Denver, CO 80202

Phone: (720) 644-8803

Email: info@maiawealth.com